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|  | VQF member No. | AMLA File No. |
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**Establishing of the controlling person of operating legal entities and partnerships both not quoted on the stock exchange (K)**

(for operating legal entities and partnership that are contracting partner as well as analogously for operating legal entities and partnership that are beneficial owners)

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| Contracting partner: | |
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| The contracting partner hereby declares that (tick the appropriate box): | |
| the person(s) listed below is/are **holding 25% or more of the contracting partner's shares (capital shares or voting rights)**; or  if the capital shares or voting rights cannot be determined or in case there are no capital shares or voting rights 25% or more, the contracting partner hereby declares that the person(s) listed below **is/are controlling the contracting partner in other ways**; or  in case this/these person(s) cannot be determined or this/these person(s) does/do not exist, the contracting partner hereby declares that the person(s) listed below is/are the **managing director(s)** | |
| Last name(s): |  |
| First name(s): |  |
| Actual address of domicile: |  |
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| Fiduciary holding assets |
| Is a third person the beneficial owner of the assets held in the account/securities account? |
| No.  Yes. 🡺 The relevant information regarding the beneficial owner has to be obtained by filling in a separate VQF doc. No. 902.9. |
| The contracting partner hereby undertakes to automatically inform of any changes to the information contained herein. |

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| Date: |  | Signature(s): |
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| It is a criminal offence to deliberately provide false information on this form (article 251 of the Swiss Criminal Code, documents forgery). | | |